

CITY OF NAPERVILLE
Steve Chirico, Mayor

CITY CLERK'S OFFICE

2019 ANNUAL
ACTION PLAN
(YEAR 5)



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Annual Action Plan
2019

Executive Summary

AP-05 Executive Summary - 91.200(c), 91.220(b)

1. Introduction

The City of Naperville is a Community Development Block Grant (CDBG) entitlement community as defined by Title I of the Housing and Community Development Act of 1974. Naperville receives an annual block grant that can be used to address critical and unmet community needs, including housing rehabilitation, public facilities, infrastructure, economic development and public services. Grants are allocated by the U.S. Department of Housing and Urban Development (HUD) on a formula basis. This Annual Action Plan (AAP) describes the programs that will be funded with CDBG funds during the 2019 Program Year, which will run from April 1, 2019 to March 31, 2020.

Naperville is a member of the DuPage County Consortium which includes DuPage County, Downers Grove and Wheaton, as well as Naperville. DuPage County is the lead agency for the Consortium. In 2015, Naperville worked with DuPage County to complete a five-year Consolidated Plan for Program Years 2015-2019, beginning April 1, 2015 and ending March 31, 2020. The Consolidated Plan is designed to help communities to assess their affordable housing, homeless and non-housing community development needs and market conditions, set goals and objectives, and develop funding priorities for their CDBG programs based on an analysis of this data. The 2019 Annual Action Plan (AAP) was completed by the City of Naperville and covers the fourth year of the Consolidated Plan period, which will run from April 1, 2019 to March 31, 2020.

The 2015-2019 Consolidated Plan for the DuPage County Consortium can be viewed on the County's website at:

http://www.dupageco.org/Community_Services/Community_Development_Commission/1310/.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

In Program Year 2019, the City of Naperville will fund a total of 13 CDBG projects, including grant administration expenses. These awards are based on the Program Year 2019 allocation of \$541,270, plus approximately \$145,746 in program income and rollover funds from prior year projects. The total estimated funding is \$687,016.

The 2019 projects are listed below in Table A.

Sort Order	Subrecipient Name	Program Name	CDBG Funding
1	Bridge Communities, Inc	Crab Apple Court Masonry Rehabilitation Project	\$ 85,000
2	ChildServ	Naperville Group Home Capital Improvements	\$ 26,000
3	DuPage County Mental Health Department	Naperville Group Home Rehabilitation	\$ 51,000
4	DuPage PADS	Olumpus Place Supportive Housing Program	\$ 21,191
5	Illinois Independent Living Center	Electrical Upgrades/Energy Conservation Improvements	\$ 90,000
6	Little Friends, Inc.	Group Home Rehabilitation	\$ 16,223
7	Loaves & Fishes CARES Program	Emergency Financial Assistance	\$ 60,000
8	Naperville Heritage Society	Naper Settlement, North Webster Street Walkway	\$ 30,000
9	Turning Pointe Autism Foundation	Special Needs Classroom Construction	\$ 90,852
10	Ray Graham Association	Startling and Swift CILA Capital Improvements	\$ 87,000
11	United Cerebral Palsy - Seguin	Fire Detection/Sprinkler System Installation	\$ 32,000
12	City of Naperville (CCO)	Grant Administration	\$ 56,000
13	City of Naperville (CCO/TED)	Single-Family Home Repairs	\$ 41,750
		TOTAL	\$ 687,016

Table 1 - TABLE A. Program Year 2019 CDBG Projects

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The CDBG program provides an extraordinary benefit to the City of Naperville and the City is committed to compliance with all HUD regulations and requirements. During the 2010-2014 Consolidated Plan period, the City of Naperville used CDBG funds to assist over 50 projects benefitting low and moderate income residents through a variety of activities. From 2015 to 2018, a total of 53 projects have been funded with \$2,239,165 allocated to benefit over 15,000 people. During this period, the City has achieved a timeliness ratio less than 1.5 times its annual grant allocation each year and is committed to maintaining timely expenditures of funds in Program Year 2019. Naperville will continue to request assistance from HUD staff as needed and comply with all HUD directives.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The Citizen Participation Process is conducted according to public participation policies and procedures detailed in the City's CDBG Standard Procedures manual. The process includes at least two public meetings/hearings and a public comment period for citizens to provide feedback on proposed plans. This year, a public meeting/information session took place on August 23, 2018 with a public hearing on April 16, 2019. A public comment period began on May 8, 2019 and will end on June 6, 2019, with another public hearing on June 4, 2019.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Attendees at the Public Meeting/Information Session on August 23, 2018 asked questions regarding the CDBG process and application procedures and requirements.

No public comments have been received to date.

6. Summary of comments or views not accepted and the reasons for not accepting them

No public comments were declined.

7. Summary

Since requests for funding always outstrip available resources, the City strives to allocate funds to the highest priority projects that make the most cost-efficient use of these limited funds. We note the concern for affordable housing and for housing for senior and disabled residents. The City will use the proposed Housing Needs Analysis/Affordable Housing Plan to provide direction for future efforts to encourage the preservation and development of affordable housing options.

PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	NAPERVILLE	City Clerk's Office

Table 2 – Responsible Agencies

Narrative

DuPage County Role: The DuPage County HOME Consortium includes DuPage County and the municipalities of Downers Grove, Wheaton and Naperville. The three municipalities all qualify as entitlement communities for the Community Development Block Grant (CDBG) Program, but Wheaton and Downers Grove are joint recipients with DuPage County while Naperville receives its own CDBG allocation.

The CDBG Program is administered by the U.S. Department of Housing and Urban Development (HUD), which requires counties and entitlement municipalities to submit a Consolidated Plan (ConPlan) every five years. The ConPlan analyzes affordable housing and community development needs and market conditions and uses the data to develop funding priorities for CDBG and other federal programs. In 2015, DuPage County and Naperville cooperated to develop their 2015-2019 Consolidated Plans. Naperville’s ConPlan included its own housing market analysis and needs assessment, analysis of non-housing community development needs and strategic plan. As the lead agency for the Consortium, DuPage County is responsible for submitting the ConPlan on behalf of all members of the Consortium.

DuPage County and Naperville each prepare an annual update, the Annual Action Plan (AAP) that outlines the actions, activities and programs that will take place during each program year to address the ConPlan priorities and goals. The AAP explains how these projects will move the goals of the ConPlan forward. Since the current ConPlan covers Program Years 2015-2019, the 2018 AAP represents the fourth year of this period.

Naperville Role: The City of Naperville is a Community Development Block Grant (CDBG) entitlement community as defined by Title I of the Housing and Community Development Act of 1974. This means that Naperville is eligible to receive an annual grant that can be used to address critical and unmet community needs, including those for housing rehabilitation, public facilities, infrastructure, economic development and public services. CDBG funds are allocated by HUD on a formula basis.

The City of Naperville *City Clerk's Office* has full responsibility for implementing the CDBG program, including administering all grants, preparing the Naperville sections of the Consolidated Plan and preparing Naperville's Annual Action Plan (AAP). The AAP outlines how the City will use its CDBG funds to meet critical community needs. The City Clerk's Office works closely with other city departments, including the Transportation, Engineering and Development (TED) Business Group and the Finance Department to prepare the AAP.

Consolidated Plan Public Contact Information

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AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

The City of Naperville consulted with DuPage County and other municipalities through its membership in the HOME Advisory Group and the DuPage Continuum of Care (DuPage CoC). The HOME Advisory Group advises the County on the use of HOME funds for affordable housing; the Continuum of Care is a coalition of public and private agencies which develops strategies to meet the needs of the homeless in DuPage County.

Naperville also maintains close contact with its own CDBG and Social Services Grant (SSG) grantees and with nonprofit agencies serving Naperville residents. As part of its Citizen Participation Plan, Naperville holds least two public meetings/hearings per year to discuss the priorities and procedures of the CDBG and SSG programs. The first of these meetings for PY 2019, the Pre-Application Workshop, was held on Thursday, August 23, 2018 and included a Call For Projects for Program Year 2019 (April 1, 2019 – March 31, 2020) and a review of the City's priorities and application process for both the Community Development Block Grant (CDBG) and city-funded Social Services Grant (SSG). (Additional information on the Pre-Application Meeting, public comment period and public hearing is provided in **AP-12, Citizen Participation**.) On Monday, March 18, 2019, the draft PY 2019 Annual Action Plan was released for public comment. The public comment period will conclude with a public hearing, held at the City Council meeting at 7:00 p.m., Tuesday, April 16, 2019.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

The City of Naperville consults with the DuPage Housing Authority and the DuPage County HOME Consortium regarding strategic initiatives to meet housing, health, mental health, education, social services, and community development needs. The DuPage County HOME Consortium coordinates the efforts of county-wide networks and coalitions by providing leadership; identifying priority needs, strategies, and funding opportunities; analyzing performance; and sharing knowledge with service providers, including the DuPage County Continuum of Care. The City also maintains informal contact with agencies and the public throughout the year.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Naperville is a member of the DuPage County Continuum of Care (DuPage CoC), composed of public and private agencies charged with meeting the housing, health, and social service needs of the chronically homeless, families with children, veterans and other homeless populations. The long-term

mission of the DuPage CoC is to develop and support effective strategies to end homelessness in DuPage County. The DuPage County Department of Community Services is the lead agency for the DuPage CoC.

In addition to participating in bi-annual DuPage CoC meetings, supporting agencies that provide assistance to the homeless is a high priority for both the CDBG and SSG programs. City staff works closely with subrecipients and grantees to implement the goals of the 2008 DuPage County Plan to End Homelessness, including homelessness prevention, outreach, rapid rehousing, maintaining/increasing affordable housing, providing supportive services and moving towards self-sufficiency. The City directly funds emergency rent/utility assistance; transitional housing for individuals and families; transitional housing for victims of domestic violence; outreach, counseling and transitional housing for youth; transitional housing and counseling for recovering substance abusers; permanent housing for chronically homeless individuals; affordable rental housing rehabilitation; and a wide variety of supportive services including mental health counseling, substance abuse prevention, food assistance and employment counseling.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City of Naperville does not receive ESG funds, but does provide feedback and assistance with the development of performance standards, evaluation, and development of policies and procedures for HMIS administration through its participation in the DuPage CoC.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 3 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	360 Youth Services
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Unaccompanied youth
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Council Workshop - speaker.Coordinate with other homeless services providers.
2	Agency/Group/Organization	A Man In Recovery Foundation
	Agency/Group/Organization Type	Services - Foundation
	What section of the Plan was addressed by Consultation?	Substance Abuse Treatment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.New locally funded program.
3	Agency/Group/Organization	Bridge Communities
	Agency/Group/Organization Type	Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Fund additional services to newly acquired transitional housing.

4	Agency/Group/Organization	CHILDSERV
	Agency/Group/Organization Type	Housing Services-Children Services - Victims
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Unaccompanied youth Public Services - Abused Children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.CDBG-funded rehab of housing for abused/neglected children.
5	Agency/Group/Organization	Community Access Naperville
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Coordinate with other providers of recreational programs for disabled children.
6	Agency/Group/Organization	Community Career Center
	Agency/Group/Organization Type	Services-Employment
	What section of the Plan was addressed by Consultation?	Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Local funding of employment services.

7	Agency/Group/Organization	DUPAGE HABITAT FOR HUMANITY
	Agency/Group/Organization Type	Housing Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Interview - Create new CDBG-funded housing rehab program.
8	Agency/Group/Organization	DUPAGE PADS
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homelessness Strategy Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Continue funding services and rehab of permanent supportive housing for chronically homeless.
9	Agency/Group/Organization	DuPage Senior Citizens Council
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Anti-poverty Strategy Public Services - Elderly
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Local funding of services for elderly persons.

10	Agency/Group/Organization	ECUMENICAL ADULT CARE OF NAPERVILLE
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Pubic Services - Elderly
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Local funding of services for elderly persons.
11	Agency/Group/Organization	Family Shelter Service
	Agency/Group/Organization Type	Housing Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Strategy Public Services - Victims of Domestic Violence
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Local funding of services for elderly persons.
12	Agency/Group/Organization	ILLINOIS INDEPENDENT LIVING CENTER, KATHARINE MANOR APARTMENTS
	Agency/Group/Organization Type	Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.CDBG funding of capital improvements for housing for persons with disabilities.

13	Agency/Group/Organization	LOAVES & FISHES COMMUNITY PANTRY
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Anti-poverty Strategy Public Services - Food & Nutrition
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Coordinate with other homeless services providers.
14	Agency/Group/Organization	Naperville Elderly Homes
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Housing - Elderly Persons
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.CDBG funding of capital improvements for housing elderly persons.
15	Agency/Group/Organization	NAPERVILLE HERITAGE SOCIETY
	Agency/Group/Organization Type	Other government - Local Foundation
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.information on architectural barrier removal in public facilities.
16	Agency/Group/Organization	NAPERVILLE CARES
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homelessness Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.CDBG funding of homelessness prevention services.
17	Agency/Group/Organization	PACT, Inc.
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Local funding of services for persons with disabilities.
18	Agency/Group/Organization	RAY GRAHAM ASSOCIATION FOR PEOPLE WITH DISABILITIES
	Agency/Group/Organization Type	Housing Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.CDBG and local funding of capital improvements for housing elderly persons.
19	Agency/Group/Organization	SENIOR HOME SHARING
	Agency/Group/Organization Type	Housing Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Local funding for services for elderly persons in shared housing.

20	Agency/Group/Organization	Serenity House Inc
	Agency/Group/Organization Type	Housing Services-homeless Services - Substance Abuse
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Local funding of housing and services for recovering substance abusers.
21	Agency/Group/Organization	Turning Pointe Autism Foundation
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.
22	Agency/Group/Organization	YMCA of Metropolitan Chicago
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Public Services - Children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Local funding of after-school services to low-income children.
23	Agency/Group/Organization	UCP Seguin Services, Inc.
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Additional services for persons with disabilities.
24	Agency/Group/Organization	LITTLE FRIENDS
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Additional services for persons with disabilities.
25	Agency/Group/Organization	NAMI DuPage
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Additional services for persons with disabilities.
26	Agency/Group/Organization	WDSRA (Western DuPage Special Recreation Association)
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Additional services for persons with disabilities.
27	Agency/Group/Organization	Northern Illinois Food Bank
	Agency/Group/Organization Type	Food Bank
	What section of the Plan was addressed by Consultation?	Food Assistance

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.
28	Agency/Group/Organization	Edward Foundation
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Health Services
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provided information.
29	Agency/Group/Organization	Samaritan Interfaith Counseling
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Mental Health Services
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Additional mental health services.
30	Agency/Group/Organization	GREATER DUPAGE MYM, NOW TEEN PARENT CONNECTION
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Services for Families
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Continued funding for services to teen parents.
31	Agency/Group/Organization	Project HELP
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Services to Families

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Coordination of services for families.
32	Agency/Group/Organization	Alive NaperBridge
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Services for Children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Additional services for children.
33	Agency/Group/Organization	KidsMatter
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Services for Children and Families
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.Additional services for families and children.
34	Agency/Group/Organization	Literacy DuPage
	Agency/Group/Organization Type	Services - Education
	What section of the Plan was addressed by Consultation?	Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Public meeting - provide information.

Identify any Agency Types not consulted and provide rationale for not consulting

The City of Naperville attempted to consult with a wide variety of nonprofit agencies and local organizations to complete the Annual Action Plan. All Naperville grantees and subrecipients who received or applied for funding over the past three years were invited to the City’s public meetings/hearings. No agency types were intentionally excluded from consultation.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	DuPage Continuum of Care	Needs Assessment/Goals and Objectives

Table 4 - Other local / regional / federal planning efforts

AP-12 Participation - 91.401, 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation

Summarize citizen participation process and how it impacted goal-setting

The City of Naperville encourages residents, public service organizations, and other interested parties to participate in the development of the Consolidated Plan and Annual Action Plans by attending public meetings and public hearings held at various stages of plan development. The City of Naperville opened its Program Year 2019 Community Development Block Grant (CDBG) public participation process and call for projects with a pre-application information session from 10:00 a.m. – 12:00 noon on Thursday, August 23, 2018 at the Naperville Municipal Center. The Call For Projects also included the city-funded Social Services Grant (SSG) program for FY 2020 (May 1, 2019-April 30, 2020). City staff provided an overview of both programs and presented information on the Consolidated Plan and Annual Action Plan (AAP), including funding priorities, application procedures, timelines, evaluation criteria and changes from previous years. A total of 51 participants attended this meeting. City staff was also available to answer additional questions and provide technical assistance prior to the application deadline on Friday, September 28, 2018.

A public hearing was held on the plan at the April 16, 2019 City Council meeting. A public comment period on the draft AAP began Wednesday May 8, 2019 and will end Thursday, June 6, 2019, with a public hearing at the June 4th Council meeting. The public meeting/pre-application information session and public hearing were publicized in advance on the City's website, <http://www.naperville.il.us>, and through press releases to local media outlets. Presentation slides and other materials were available on the website the day following the meeting. Nonprofit organizations with a presence in Naperville also received direct emails advertising the information session and call for projects.

A notice announcing the public comment period and public hearing for the City's Consolidated Plan and Annual Action Plan was published in the Naperville Sun on Sunday, May 5, 2019. The notice included the date, time and location of the public hearing, dates of the public comment period, public review locations and information on how to submit comments. The Program Year 2019 Annual Action Plan was available at the Naperville Municipal Center, at three Naperville public library locations and on the City's website beginning Monday, March 18, 2019. A public hearing to hear citizen comments on the plan was held at the April 16th City Council meeting.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Public Meeting	Non-targeted/broad community	Annual Action Plan Workshop - A total of 50 people attended the public meeting/information session on August 23, 2019, not including city staff.	Attendees commented on the priorities and asked numerous questions regarding programs, evaluation criteria and application submission.	All questions were answered in as much detail as possible. No comments or questions were declined.	
2	Public Comment Period	Non-targeted/broad community	The public comment period took place from May 8, 2019 to June 6, 2019. The AAP was posted on the City's website beginning on March 18, 2019. Hard copies were available at the City Clerk's Office and Naperville public libraries.	To date, no public comments were received.	No comments were not accepted.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
3	Public Hearing	Non-targeted/broad community	A public hearing took place on April 16, 2019 at the regularly scheduled City Council meeting.	No public comments were received.	No comments were not accepted.	
4	Public Hearing	Non-targeted/broad community	A public hearing will take place on June 4, 2019 at the regularly scheduled City Council meeting.	To be completed.	To be completed.	

Table 5 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

Introduction

As a metropolitan city with over 50,000 residents, Naperville qualifies as an entitlement community for the federal Community Development Block Grant (CDBG) Program. Entitlement communities receive annual grants from the U.S. Department of Housing and Urban Development (HUD) to carry out a wide range of community development activities directed towards revitalizing neighborhoods, increasing economic development, and improving community facilities and services. Grantees must give maximum priority to activities that benefit low and moderate income persons, aid in the prevention or elimination of slums or blight, and meet urgent community development needs that pose a serious threat to the health or welfare of the community. Grantees have wide flexibility to develop their own programs, activities and funding priorities so long as they meet one of these national objectives. The Naperville City Council establishes the allocations for the use of CDBG funds based on the priorities set forth in the five-year Consolidated Plan.

Allocations for each CDBG entitlement grantee are determined annually by HUD following adoption of the final federal budget by Congress. Since HUD's final CDBG award for Program Year 2019 is not available at this time, Naperville based its proposed 2019 CDBG awards on an estimated allocation of \$492,152, or 90 percent of the PY 2018 award of \$546,835. In addition to the annual allocation, approximately \$145,746 in other funds will also be available. In December, 2017, the City received a total \$97,394 in program income. Federal regulations require that these funds be spent before any CDBG entitlement funds can be spent. As a result, the City retained \$97,394 in CDBG funds that will now be reallocated to PY 2019 projects, along with approximately \$48,346 in prior year funding from projects that came in under budget. No new program income is anticipated for PY 2019, so the City assumed a total CDBG budget for Program Year 2019 of approximately \$637,898 when preparing its initial Annual Action Plan, adopted by City Council on April 16, 2019. Based on guidance provided by HUD in Notice CPD 19-01, issued February 15, 2019, this Annual Action Plan included Contingency Provisions (see Discussion below).

On April 17, 2019, HUD released the Program Year 2019 allocations. Naperville received \$541,270, a slight decrease from the Program Year 2018 allocation of \$546,835. Since the City had based its original funding awards on an estimated allocation of \$492,152, it was necessary to add an additional \$49,118 in funding. Accordingly, the City increased funding for one public service and added a Single-Family Home Repair demonstration program, as described in its Contingency Plan. The total budget including the PY 2019 CDBG allocation and \$145,746 in prior year

funds is \$687,016.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	541,270	0	145,746	687,016	0	Annual CDBG allocation plus any prior year resources available.

Table 6 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Program Year 2019 is the final year of the 5-year Consolidated Plan period that began with Program Year 2015. During the next Consolidated Plan period, actual allocation amounts are expected to vary based on several factors, including the amount of funds appropriated by Congress, the total number of entitlement communities, and changes in the components of the formula used by HUD to determine allocation amounts. Other resources that may be available to Naperville residents include funds from the following federal programs, administered by DuPage County and other federal, state and local agencies:

- Emergency Community Services Homeless Grant Program
- Emergency Solutions Grant (ESG)
- HOME Investment Partnerships Act (HOME)
- Homeless Continuum of Care programs
- Housing Choice Voucher Program
- Low-Income Energy Assistance Program (LIHEAP)
- Low-Income Housing Tax Credits (LIHTC)
- Social Security Disability (SSI) Program
- Permanent Housing for the Handicapped Program

These programs are available to low and moderate income individuals and households, to nonprofit organizations, private developers and local units of government. Actual dollar amount available are dependent on income eligibility and funding availability. None of these programs requires matching funds, though LIHTC projects will be leveraged with resources and funding provided by private investors and nonprofit organizations.

The City of Naperville’s Social Services Grant (SSG) Program is an important local funding source leveraging CDBG resources. An annual allocation from proceeds of the City’s Food and Beverage Tax is used to fund the SSG which provides direct grants to social service organizations that assist Naperville residents. Grants may be used for program costs, salaries, supplies and materials. The call for projects for the SSG takes place at the same time as the CDBG call for projects and the applications and evaluation criteria are similar. Applications for SSG funding that meet CDBG requirements may be funded under that program depending on funding availability. Four social service providers have been approved to receive both SSG funding for social services programs and CDBG funding for related capital improvements:

Bridge Communities

SSG: \$21,500 for the Essential Transitional Housing Program providing support services for homeless families

CDBG: \$90,000 to masonry rehabilitation at six apartment buildings providing transitional housing for homeless families

ChildServ

SSG: \$6,000 for a therapist to assist abused and neglected children at the Naperville Group Home

CDBG: \$26,000 for capital improvements to the Naperville Group Home

Little Friends, Inc.

SSG: \$3,700 for the Respite Opportunities Program for families of people with severe disabilities

CDBG: \$16,223 for foundation and drainage system repairs and deck replacement at a group home for severely disabled adults

Ray Graham Association for People with Disabilities

SSG: \$11,600 for salaries of support staff at the Starling and Swift CILA's (Community Integrated Living Arrangements) for severely disabled adults

CDBG: \$87,000 for capital improvements and energy efficiency upgrades at the Starling and Swift CILA's

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

One project will address the need to ensure that low and moderate income residents have full access to essential public facilities:

Naperville Heritage Society – The Naperville Heritage Society is a 501(c)(3) nonprofit organization that administers the Naper Settlement Museum, located on city-owned property at 523 S. Webster Street, Naperville, Illinois. The Museum's south entry will be upgraded to remove existing architectural barriers and comply with current ADA regulations.

Discussion

Contingency Provisions:

HUD NOTICE CPD 19-01, issued February 13, 2019, "Guidance on Submitting Consolidated Plans and Annual Action Plans for Fiscal Year (FY) 2019" instructs grantees not submit their Consolidated Plan and/or Annual Action Plan until after HUD announces the Program Year formula allocation amounts. Since the amount of Naperville's Program Year 2019 grant allocation was unknown when the Annual Action Plan was being developed, the City employed an estimated amount of \$492,152 (90 percent of the Program Year 2018 allocation). A Contingency Plan was adopted in case the final CDBG allocation was higher or lower than \$492,152. In this event, the City of Naperville may add, subtract or transfer amounts among identified projects, as noted below, without publishing a substantial amendment. If actual grant amounts are more or less than anticipated, the following contingency provisions will apply:

- 1) Final grant allocation exceeds \$492,152: If the final grant allocation exceeds \$492,152, the City will undertake the following change in proposed activities:
 - a) Add additional funding for CDBG-eligible public services activities 1) Loaves & Fishes CARES Emergency Financial Assistance Program up to \$70,000, and 2) DuPage PADS Olympus Place Supportive Housing Program up to \$30,000 with total public services costs to remain within the 15% HUD cap on public service activities;
 - b) Add funding for fair housing activities (subject to planning and administration cap);
 - c) Add funding for a Single-Family Rehabilitation Program up to \$85,000 to be operated either by the City of Naperville or a subrecipient to be chosen through a competitive procurement process;
 - d) Add funding for infrastructure and neighborhood facility improvement projects located in low and moderate income concentration areas (based on census tract block group data) to be managed by the City of Naperville Transportation, Engineering & Development Business Group (TED) or the City of Naperville Department of Public Works.
 - e) Add funding for improvements to parks and recreation facilities located in low and moderate income concentration areas (based on census tract block group data) to be managed by the Naperville Park District.

2) Final grant allocation is less than \$492,152: If the final grant allocation is less than \$492,152, the City will undertake the following change in proposed activities: The proposed funding amounts for all activities will be proportionally decreased to match the actual allocation amount.

3) Pre-Award Cost Waiver: In addition to the guidance on submitting Consolidated Plans and Annual Action Plans, NOTICE CPD-19-01 included a waiver of 24 CFR 470.200(h), the section that specifies the situations under which a grantee may incur costs prior to the effective date of its grant agreement. Sections V.8.2 and V.8.3 of the Notice state that the effective date of a grantee's FY2019 grant agreement will be considered to be the earlier of either the grantee's program year start date or the date that the consolidated plan/action plan (with actual allocation amounts) is received by HUD. If the City of Naperville decides to use the waiver, the City will document in writing the conditions giving rise to the need to use the waiver, and maintain the documentation for HUD's review. The City of Naperville will include any activity for which the costs are being incurred in its AAP prior to the costs being incurred.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Affordable Rental Housing	2015	2019	Affordable Housing	City of Naperville	Housing	CDBG: \$90,000	Rental units rehabilitated: 31 Household Housing Unit
2	Homeless Housing and Supportive Services	2015	2019	Homeless	City of Naperville	Homeless Individuals and Families	CDBG: \$111,000	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 136 Households Assisted
3	Non-Housing Community Development: Homeless	2015	2019	Homeless	City of Naperville	Homeless Individuals and Families	CDBG: \$81,191	Public service activities for Low/Moderate Income Housing Benefit: 10 Households Assisted Homelessness Prevention: 300 Persons Assisted
4	Non-Housing Community Development: Pub. Facilities	2015	2019	Non-Housing Community Development	City of Naperville	Non-Housing Community Development Needs	CDBG: \$30,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 4000 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Special Needs Housing and Supportive Services	2015	2019	Non-Homeless Special Needs	City of Naperville	Non-Housing Community Development Needs	CDBG: \$277,075	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 35 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 24 Households Assisted
6	Affordable Owner-Occupied Housing	2016	2019	Affordable Housing	City of Naperville	Housing	CDBG: \$41,750	Homeowner Housing Rehabilitated: 3 Household Housing Unit

Table 7 – Goals Summary

Goal Descriptions

1	Goal Name	Affordable Rental Housing
	Goal Description	<p><i>To preserve and increase the quality and availability of affordable rental housing through rehabilitation, acquisition and construction, where feasible.</i></p> <p><i>Illinois Independent Living Center (Katharine Manor Apartments) - \$90,000 will be used to improve the living environment and reduce energy consumption in an apartment building providing affordable, accessible housing to 27-31 low and moderate-income persons with severe physical disabilities. The project will include replacement of all existing common area lighting and signage with LED fixtures and replacing A/C and heating units with energy efficient models. of an apartment building providing affordable, accessible housing to 27-31 low and moderate-income persons with severe physical disabilities.</i></p>

2	Goal Name	Homeless Housing and Supportive Services
	Goal Description	<p><i>To support essential services, facility improvements and facility development to enable homeless and at-risk persons to access suitable living environments.</i></p> <p>A total of \$111,000 will be used to rehabilitate buildings providing transitional housing and social services to approximately 136 homeless children and adults and persons at risk of homelessness.</p> <p><i>Bridge Communities, Inc.</i> - A total of \$85,000 in CDBG funds will be used to replace damaged bricks and repair tuck pointing at six (6) apartment buildings providing transitional housing to 35 homeless families (approximately 125 individuals).</p> <p><i>ChildServ</i> - \$26,000 will be used for capital improvements to a group residence for 11-14 abused and/or neglected adolescent girls, including replacement of the boiler, outdoor water spigot, basement ceiling and stairs, and gutters.</p>
3	Goal Name	Non-Housing Community Development: Homeless
	Goal Description	<p><i>To reduce the incidence of poverty and homelessness by providing public services to prevent homelessness and assist homeless and at-risk persons to become self-sufficient.</i></p> <p>A total of \$81,191 will be spent on public service activities to prevent homelessness and provide supportive services to formerly chronically homeless individuals.</p> <p><i>Loaves & Fishes CARES Program</i> - \$60,000 for homelessness prevention through temporary rent and utility assistance benefitting approximately 300 persons.</p> <p><i>DuPage PADS Olympus Place Housing Program</i> - \$21,191 for on-site services to approximately 18 formerly homeless persons living in supportive housing.</p>

4	Goal Name	Non-Housing Community Development: Pub. Facilities
	Goal Description	<p><i>To enhance the overall accessibility of Naperville by reducing architectural barriers in public facilities.</i></p> <p>A total of \$30,000 will be used to renovate public facilities and to remove architectural barriers for approximately 1,625 residents.</p> <p><i>Naperville Heritage Society</i> - \$30,000 will be used to reduce architectural barriers for approximately 1,000 museum visitors with mobility impairments and/or persons using canes, walkers or wheelchairs by replacing non-ADA compliant brick pavers and curbs with expanded concrete walkways and curbs with tactile strips.</p>

5	Goal Name	Special Needs Housing and Supportive Services
	Goal Description	<p><i>To support essential services and facility improvements to enable persons with special needs to access suitable living environments.</i></p> <p>A total of \$277,075 will be used to renovate and reconstruct facilities providing services and housing to approximately 24 persons with special needs.</p> <p><i>DuPage County Mental Health Department</i> - \$51,000 in CDBG funds will be used to rehabilitate a Community Integrated Living Arrangement (CILA) for eight (8) adults with mental disabilities by replacing the roof, HVAC system, flooring, deck, driveway, sump pump and battery.</p> <p><i>Little Friends, Inc.</i> - \$16,223 in CDBG funds will be used to rehabilitate a Community Integrated Living Arrangement (CILA) home for four (4) developmentally disabled adults by replacing gutters and downspouts, removing a damaged deck, repairing the damaged foundation and installing a buried drain system.</p> <p><i>Ray Graham Association</i> - \$87,000 in CDBG funds will be used to rehabilitate two (2) Community Integrated Living Arrangement (CILA) buildings housing eight (8) physically and developmentally disabled adults by replacing driveways, windows, siding, fascias and soffits. In addition all exterior lighting will be replaced with LED's. The improvements are designed to eliminate hazards and reduce energy costs.</p> <p><i>Turning Pointe Autism Foundation</i> - \$90,852 to construct classrooms at a building used to provide educational services to 35-50 severely disabled people with Autism, enabling them to improve their life skills, engage in work and live independently.</p> <p><i>United Cerebral Palsy-Seguin</i> - \$32,000 to improve the safety of four (4) residents of a Community Integrated Living Arrangement (CILA) for disabled adults with Cerebral Palsy by upgrading the water service and installing a sprinkler system and fire detection system.</p>

6	Goal Name	Affordable Owner-Occupied Housing
	Goal Description	<p><i>To preserve and increase the quality and affordability affordable owner-occupied housing through rehabilitation and other assistance.</i></p> <p>A total of \$41,750 will be used to rehabilitate approximately 3 homes occupied by low and moderate income homeowners.</p> <p><i>City of Naperville - \$41,750 to rehabilitate single-family homes occupied by low and moderate income homeowners.</i></p>

AP-35 Projects - 91.420, 91.220(d)

Introduction

The City of Naperville's Program Year 2019 CDBG projects are described below:

#	Project Name
1	Bridge Communities - Crab Apple Court Masonry Rehabilitation Project
2	ChildServ - Naperville Group Home Capital Improvements
3	DuPage County Mental Health Department - Naperville Group Home Rehabilitation
4	DuPage PADS - Olympus Place Supportive Housing Program
5	Illinois Independent Living Center - Electrical Upgrades/Energy Conservation Improvements
6	Little Friends, Inc. - Group Home Rehabilitation
7	Loaves & Fishes CARES Program - Emergency Financial Assistance
8	Naperville Heritage Society - Naper Settlement, North Webster Street Walkway
9	Turning Pointe Autism Foundation - Classroom Construction
10	Ray Graham Association - Starling and Swift CILA Capital Improvements
11	United Cerebral Palsy/Seguin of Greater Chicago - You Hold the Key Project, Phase II
12	City of Naperville - Grant Administration
13	City of Naperville - Single-Family Home Repairs

Table 8 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

To prepare the 2015-2019 Consolidated Plan, DuPage County and the City of Naperville developed priorities, goals and objectives. All projects meet the national objectives of the CDBG program and are designed to address the needs of low and moderate-income persons:

Affordable Rental Housing – Preserve and increase quality and availability of affordable rental housing through rehabilitation.

Affordable Owner-Occupied Housing - Preserve and increase the quality and availability of affordable owner-occupied housing through rehabilitation.

Homeless Housing and Supportive Services – Support essential services and facility improvements to enable homeless persons to access suitable living environments.

Special Needs Housing and Supportive Services - Support essential services and facility improvements to enable persons with special needs to access suitable living environments.

Non-Housing Community Development: Homeless – Reduce the incidence of poverty and

homelessness by providing public services to prevent homelessness.

Non-Housing Community Development: Public Services - Assist low-income households and reduce the incidence of poverty by providing public services to enhance life skills and self-sufficiency.

Non-Housing Community Development: Public Facilities - Maintain a viable urban community through infrastructure improvements and by reducing architectural barriers in public facilities.

The primary obstacles to meeting underserved needs are the unavailability and uncertainty of adequate funding for projects which is compounded by the difficulty of funding housing-related projects in a high-cost community such as Naperville. These issues are addressed at greater length in Section **AP-85, Actions Planned to Address Obstacles to Meeting Underserved Needs.**

AP-38 Project Summary

Project Summary Information

1	Project Name	Bridge Communities - Crab Apple Court Masonry Rehabilitation Project
	Target Area	City of Naperville
	Goals Supported	Homeless Housing and Supportive Services
	Needs Addressed	Homeless Individuals and Families
	Funding	CDBG: \$85,000
	Description	A total of \$85,000 in CDBG funds will be used to replace damaged bricks and repair tuck pointing at six (6) apartment buildings providing transitional housing to 35 homeless families.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 35 homeless families (approximately 105 persons) .
	Location Description	1324, 1329, 1330, 1335, 1341 and 1347 Crab Apple Court, Naperville, IL.
Planned Activities	Repair damaged tuck pointing and replace damaged bricks.	
2	Project Name	ChildServ - Naperville Group Home Capital Improvements
	Target Area	City of Naperville
	Goals Supported	Homeless Housing and Supportive Services
	Needs Addressed	Homeless Individuals and Families
	Funding	CDBG: \$26,000
	Description	A total of \$26,000 in CDBG funds will be used for capital improvements to a group residence for abused and/or neglected youth, including rehabbing the kitchen and replacing the damaged tile floor in the first floor bathroom.
	Target Date	3/31/2020

	Estimate the number and type of families that will benefit from the proposed activities	Approximately 11 girls between 13 and 18 years of age who are homeless and in state custody due to abuse or neglect.
	Location Description	146 N. Sleight Street, Naperville, IL 60540
	Planned Activities	<ol style="list-style-type: none"> 1. Kitchen rehabilitation. 2. Replacement of tile floor in first floor bathroom.
3	Project Name	DuPage County Mental Health Department - Naperville Group Home Rehabilitation
	Target Area	City of Naperville
	Goals Supported	Special Needs Housing and Supportive Services
	Needs Addressed	Housing
	Funding	CDBG: \$51,000
	Description	A total of \$51,000 in CDBG funds will be used to rehabilitate a Community Integrated Living Arrangement (CILA) for adults with mental disabilities by replacing the roof, HVAC system, flooring, deck, driveway, sump pump and battery.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Eight (8) adults with severe mental disabilities.
	Location Description	408 Braemar Avenue, Naperville, IL 60563.
	Planned Activities	<ol style="list-style-type: none"> 1. Replace roof. 2. Replace HVAC system, sump pump and battery. 3. Replace flooring. 4. Replace deck 5. Replace driveway.
4	Project Name	DuPage PADS - Olympus Place Supportive Housing Program
	Target Area	City of Naperville
	Goals Supported	Special Needs Housing and Supportive Services

	Needs Addressed	Housing
	Funding	CDBG: \$21,191
	Description	A total of \$21,191 in CDBG funds will be used to provide supportive services to approximately 18 formerly chronically homeless persons living at Olympus Place apartments, an affordable supportive rental housing complex owned and managed by DuPage PADS.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Ten (10) formerly chronically homeless households living in a 10-unit apartment complex.
	Location Description	5 Olympus Place, Naperville, IL 60540
	Planned Activities	1. Provide supportive services to residents.
5	Project Name	Illinois Independent Living Center - Electrical Upgrades/Energy Conservation Improvements
	Target Area	City of Naperville
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Housing
	Funding	CDBG: \$90,000
	Description	A total of \$90,000 will be used to improve the living environment and reduce energy consumption in an apartment building providing affordable, accessible housing to low and moderate-income persons with severe physical disabilities. The project will include replacement of all existing common area lighting and signage with LED fixtures and replacing A/C and heating units with energy efficient models.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 31 households consisting of low and moderate-income persons with severe physical disabilities will benefit from this project.
	Location Description	Katharine Manor Apartments, 1141 Iroquois Avenue, Naperville, IL 60563.

	Planned Activities	<ol style="list-style-type: none"> 1. Replace existing common area lighting and signage with LED fixtures. 2. Replace existing A/C and heating units with energy efficient units.
6	Project Name	Little Friends, Inc. - Group Home Rehabilitation
	Target Area	City of Naperville
	Goals Supported	Special Needs Housing and Supportive Services
	Needs Addressed	Housing
	Funding	CDBG: \$16,223
	Description	A total of \$16,223 in CDBG funds will be used to rehabilitate a Community Integrated Living Arrangement (CILA) home by replacing gutters and downspouts, removing a damaged deck, repairing the damaged foundation and installing a buried drain system.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Approximately four (4) severely developmentally disabled adults.
	Location Description	2237 Mecan Drive, Naperville, IL 60564.
	Planned Activities	<ol style="list-style-type: none"> 1. Replace gutters and downspouts. 2. Remove damaged deck. 3. Repair foundation. 4. Install buried drain system.
7	Project Name	Loaves & Fishes CARES Program - Emergency Financial Assistance
	Target Area	City of Naperville
	Goals Supported	Non-Housing Community Development: Homeless
	Needs Addressed	Non-Housing Community Development Needs
	Funding	CDBG: \$60,000
	Description	A total of \$60,000 in CDBG funds will be used to provide Homelessness Prevention/Emergency Assistance Program for individuals and families at high risk of homelessness. Provides emergency financial assistance to cover rent, mortgage, and utility payments. Agency work with clients to connect them to other resources.

	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 300 low and moderate-income individuals (approximately 100 households) at high risk of homelessness due to inability to pay rent, mortgage or utility payments.
	Location Description	
	Planned Activities	Provide temporary rent, mortgage, and/or utility payments to prevent recipients from becoming homeless.
8	Project Name	Naperville Heritage Society - Naper Settlement, North Webster Street Walkway
	Target Area	City of Naperville
	Goals Supported	Non-Housing Community Development: Pub. Facilities
	Needs Addressed	Non-Housing Community Development Needs
	Funding	CDBG: \$30,000
	Description	A total of \$30,000 will be used to reduce architectural barriers for persons with mobility impairments and/or persons using canes, walkers or wheelchairs by replacing non-ADA compliant brick pavers and curbs with expanded concrete walkways and curbs with tactile strips.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 4,000 annual museum visitors who have some ambulatory or mobility impairment.
	Location Description	Webster Street Walkways, 523 S. Webster Street, Naperville, IL.
	Planned Activities	1. Demolish and replace non-ADA compliant walkways and curbs.
9	Project Name	Turning Pointe Autism Foundation - Classroom Construction
	Target Area	City of Naperville
	Goals Supported	Non-Housing Community Development: Pub. Facilities
	Needs Addressed	Non-Housing Community Development Needs
	Funding	CDBG: \$90,852

	Description	A total of \$90,852 in CDBG funds will be used to renovate temporary classroom areas at Turning Pointe Autism Foundation's (TPAF) building at 1500 Ogden Avenue to create permanent classrooms for TPAF's educational program for people with severe disabilities due to autism.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 35 to 50 severely disabled people with autism.
	Location Description	Turning Pointe Autism Foundation, 1500 Ogden Avenue, Naperville, IL
	Planned Activities	1. Construct permanent classrooms.
10	Project Name	Ray Graham Association â€” Starling and Swift CILA Capital Improvements
	Target Area	City of Naperville
	Goals Supported	Special Needs Housing and Supportive Services
	Needs Addressed	Housing
	Funding	CDBG: \$87,000
	Description	A total of \$87,000 in CDBG funds will be used to rehabilitate two (2) Community Integrated Living Arrangement (CILA) buildings by replacing driveways, windows, siding, fascias and soffits. In addition all exterior lighting will be replaced with LEDâ€™s. The improvements are designed to eliminate hazards and reduce energy costs.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Eight (8) adults with severe physical and mental disabilities.
	Location Description	52 Starling Lane, Naperville, IL 60520 and 32 Swift Lane, Naperville, IL 60520.
Planned Activities	<ol style="list-style-type: none"> 1. Replace driveways. 2. Replace windows. 3. Replace siding, fascias and soffits. 4. Replace exterior lighting with LED's. 	

11	Project Name	United Cerebral Palsy/Seguin of Greater Chicago - You Hold the Key Project, Phase II
	Target Area	City of Naperville
	Goals Supported	Special Needs Housing and Supportive Services
	Needs Addressed	Housing
	Funding	CDBG: \$32,000
	Description	A total of \$32,000 in CDBG funds will be used to rehabilitate a Community Integrated Living Arrangement (CILA) for adults with cerebral palsy by replacing the driveway, garage floor and rear patio.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Four (4) adults with severe disabilities due to Cerebral Palsy.
	Location Description	715 Sara Lane, Naperville, IL
Planned Activities	<ol style="list-style-type: none"> 1. Replace driveway. 2. Replace garage floor. 3. Replace rear patio. 	
12	Project Name	City of Naperville - Grant Administration
	Target Area	City of Naperville
	Goals Supported	Affordable Rental Housing Affordable Owner-Occupied Housing Special Needs Housing and Supportive Services Homeless Housing and Supportive Services Non-Housing Community Development: Pub. Facilities Non-Housing Community Development: Homeless
	Needs Addressed	Homeless Individuals and Families Non-Housing Community Development Needs Housing
	Funding	CDBG: \$56,000
	Description	A total of \$56,000 is a portion of the salary and benefits of staff administering and managing the Program Year 2019 Community Development Block Grant (CDBG) Program.

	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Not applicable.
	Location Description	Naperville Municipal Center, 400 S. Eagle Street, Naperville, IL 60540
	Planned Activities	Grant Administration.
13	Project Name	City of Naperville - Single-Family Home Repairs
	Target Area	City of Naperville
	Goals Supported	Affordable Owner-Occupied Housing
	Needs Addressed	Housing
	Funding	CDBG: \$41,750
	Description	A total of \$41,750 will be to create a pilot home repair program for low and moderate-income owner-occupants of single-family homes. This program will be administered by the City of Naperville or a subrecipient chosen through a competitive procurement process.
	Target Date	3/31/2020
	Estimate the number and type of families that will benefit from the proposed activities	Approximately three (3) low or moderate-income homeowners.
	Location Description	To be determined
	Planned Activities	1. Conduct repairs of single-family homes owned and occupied by low- and moderate-income homeowners.

AP-50 Geographic Distribution - 91.420, 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

All projects selected for funding were chosen for their ability to meet the national objectives of the CDBG program and the goals of the Consolidated Plan. Projects will primarily or exclusively benefit low and moderate income individuals with emphasis on families with children, homeless persons transitioning to permanent housing, and persons with special needs.

Geographic Distribution

Target Area	Percentage of Funds
City of Naperville	100

Table 9 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City of Naperville generally does not direct assistance to specific geographic areas because the types of projects assisted are located throughout the City. Funding for contingency projects may be allocated to projects located in low and moderate income concentration areas. The beneficiaries of these projects, if they are completed, will be households who live in the immediate area.

Discussion

Recently, HUD released new data on the number and percentage of low and moderate income residents in each census tract block group. A preliminary analysis of this data indicates that the number of low and moderate income residents in Naperville has increased, accompanied by an increase in the number of census tract block groups that qualify as low and moderate income concentration areas under Naperville's exception grantee criteria. The City of Naperville will monitor conditions in these areas and will consult with city agencies and social service organizations to determine how best to assist these households.

AP-75 Barriers to affordable housing -91.420, 91.220(j)

Introduction

Providing affordable housing for residents of all income levels is a significant challenge in Naperville, a relatively high-income community with high land and property values. Recognizing the social and economic benefits associated with a versatile housing stock, Naperville is committed to supporting housing policies and programs that bolster the local housing market and the local economy, and plans several actions to maintain the existing affordable housing stock and increase the number of available units.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

In PY 2017, the City of Naperville completed a new Analysis of Impediments to Fair Housing Choice (AI), to replace its previous AI, completed in 2007. Two of the impediments identified were a lack of affordable housing units in the City and failure of the comprehensive plan to adequately address affordable housing for non-elderly, non-disabled residents. Recommendations included the following:

- Fully integrate planning for affordable housing and fair housing into the comprehensive planning and implementation process with plan amendments.
- Identify parcels of land appropriate for rezoning for multi-family development, amend the City Zoning Map to rezone these parcels and create opportunities for new affordable housing development.
- Undertake a housing needs assessment/affordable housing plan to determine the gap between the demand and supply of affordable housing..

The City is commissioning a new comprehensive plan that will offer an opportunity to address these recommendations. The City is also receiving technical assistance in developing and implementing housing priorities, and will commission a Housing Needs Assessment/Affordable

Housing Plan to understand and quantify the demand for affordable units in the City and to recommend strategies for addressing these needs.

Discussion

See "*Actions planned to foster and maintain affordable housing*" under **AP-85, Other Actions**.

AP-85 Other Actions - 91.420, 91.220(k)

Introduction

Naperville will undertake additional actions to address the following areas of concern:

- Meeting Underserved Needs
- Reducing Lead-Based Paint Hazards
- Reducing the Number of Poverty-Level Families
- Developing Institutional Structure
- Enhancing Coordination Between Public and Private Housing and Social Service Agencies

Actions planned to address obstacles to meeting underserved needs

The City will use the priorities established in the Consolidated Plan for Program Years 2015-2019 to guide the allocation of CDBG funds. The City will continue to utilize public meetings, workshops, surveys and consultations with service providers, as well as analyzing new data as it becomes available, to improve its understanding of community needs.

City staff will also continue to participate in the DuPage County HOME Advisory Commission to learn about available services and advise the commission about Naperville's specific needs. Knowledge obtained from studies and consultation with local service providers is being used to determine which community needs are not currently being addressed adequately by existing programs, prevent duplication of services and improve coordination between service providers.

An additional obstacle to meeting underserved needs is the unavailability and uncertainty of adequate funding for projects. The actual amount of the CDBG allocation fluctuates from year to year and is influenced by factors beyond the City's control. Even if final funding amounts exceed \$492,152, it may not be adequate to meet the City's needs, especially in the areas of public services and affordable renter and owner-occupied housing. In future years, the City may consider researching and applying for additional sources of community development funding.

Actions planned to foster and maintain affordable housing

The City of Naperville is expected to reach residential build-out within the next five to ten years. High land and housing costs and a lack of experienced affordable housing developers make affordable housing development a challenge, even in lower-cost areas of the City. To address some of the barriers to affordable housing, the Staff will undertake the following strategies:

- Complete CDBG Projects designed to promote and sustain the availability of affordable housing for a variety of groups. – CDBG projects proposed for funding in Program Year 2019 will increase

the availability and sustainability of affordable housing for Naperville residents with the greatest housing challenges, including low-income households, people with disabilities, and homeless and at-risk families and children.

- Implementation of the Analysis of Impediments to Fair Housing Choice. – The Housing Advisory Commission (HAC) has been tasked with monitoring implementation of the AI recommendations. In 2018, they sponsored a fair housing training session for City officials, commissioners and staff; this effort will continue in 2019, with fair housing training materials and workshops targeted towards landlords, property managers and realtors. The HAC will also participate in development of the Housing Needs Assessment/Affordable Housing Plan and technical assistance on housing planning.
- Continue ongoing efforts to address issues related to housing affordability – In 2016, City Council adopted a Housing Advisory Commission recommendation to add Housing Choice Vouchers as a legal source of income, as defined in the City’s Fair Housing Ordinance. The Housing Advisory Commission also created a Housing Choice Voucher Education Subcommittee to develop methods of educating the public and landlords about the Housing Choice Voucher Program. The City will continue these efforts in 2019 by including information on vouchers in fair housing education seminars for city staff and officials.

Actions planned to reduce lead-based paint hazards

An assessment of the need for lead-based paint remediation will be undertaken for all CDBG-funded housing projects. The City will communicate with subrecipients and monitor all projects to ensure that lead-based paint regulations are implemented correctly in rehabilitation projects. Applicable lead-based paint regulations will be followed for all rehabilitation projects in which the building was constructed prior to 1978. If necessary, contractors certified in safe work practices will be used for rehab work. The City has an arrangement with DuPage County to assist the City in lead-based paint abatement.

Most of the potential lead-based paint hazards in Naperville are restricted to the older area of the City where the majority of the housing stock pre-dates 1978. Specifically, this area is bounded by Ogden Avenue on the north, Columbia Street on the east, Hillside Road on the south and the DuPage River on the west. U.S. Census data indicates that there could be as many as 2,700 households that may be at risk of exposure to lead based paint hazards. The City will pay special attention to monitoring rehabilitation projects located in these areas.

Actions planned to reduce the number of poverty-level families

Community Development Block Grant (CDBG) Program: The following CDBG awards are proposed for Program Year 2019:

- **Transitional Housing for Homeless Families and Youth:** \$85,000 to Bridge Communities, Inc. to rehabilitate transitional housing and support services to homeless families and \$26,000 to

ChildServ to rehabilitate a group residence for abused and neglected children.

- **Permanent Housing for People with Disabilities:** \$90,000 to Illinois Independent Living Center for rehabilitation of multifamily housing for very low-income persons with severe physical disabilities.
- **Housing for People with Special Needs:** \$65,000 to DuPage County Health Department, \$16,223 to Little Friends, Inc., \$32,000 to United Cerebral Palsy – Seguin, and \$87,000 to Ray Graham Association for rehabilitation work on their facilities providing housing and services to persons with severe physical and mental disabilities.
- **Public Services for Homeless and Formerly Homeless Households:** \$60,000 to Loaves & Fishes CARES Program to provide emergency rent and utility assistance to families at risk of homelessness due to severe financial difficulties and \$13,823 to DuPage PADS to provide support services to formerly homeless persons with disabilities, now living in permanent housing.

Social Services Grant (SSG) Program: The Social Services Grant (SSG) Program is a crucial component of the City's strategy to reduce the number of poverty-level families. For the 2019 Fiscal Year, a total of \$500,000 will be allocated to organizations and programs that provide assistance to low-income families. These programs include emergency rent and utility assistance, services to single parents, emergency housing, food assistance, employment counseling, substance abuse counseling, mental health counseling, youth services and after- school programs.

In addition to funding specific activities, the City will continue its efforts to finance affordable housing rehabilitation, work with the Naperville Police Department to implement the Crime-Free Multi-Family Housing Program and Community Policing through Environmental Design (CPTED) Program.

Actions planned to develop institutional structure

The City of Naperville will work with city departments, local service providers, and agencies from DuPage County and Will County to implement the Consolidated Plan goals and strategies for 2015-2019.

In addition to the formal structure inherent in administering CDBG funds, the City will pursue informal communication and coordination with public and private housing agencies, other governmental agencies, private businesses, non-profit community agencies and other organizations working to meet the housing assistance and support needs of low and moderate income people in the community.

The City will work with local agencies to coordinate services to eliminate gaps, reduce duplication of programs, and emphasize efficient service delivery for local, state, and federal programs.

The City will continue to participate in the Homelessness Continuum of Care and the DuPage County HOME Advisory Commission to learn and provide advice on how homelessness and affordable housing

programs are implemented county-wide.

Actions planned to enhance coordination between public and private housing and social service agencies

The City will continue to work to strengthen relationships with DuPage County and with county-wide nonprofit social service agencies and housing providers. We will work closely with these organizations to enhance coordination between public and private agencies and between nonprofit agencies providing different services to the same clientele. Many nonprofit agencies have already developed these partnerships and the City will consult with them to determine how we can help to enhance these efforts.

Discussion

See individual sections above.

Program Specific Requirements

AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

Introduction

This section reports on Naperville's expected Program Income for Program Year 2019, the amount of funds that will be used for urgent needs and on the percentage of CDBG funds that will be used to benefit low and moderate income residents.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	89.65%

Discussion

The City of Naperville does not anticipate receiving program income from any of the sources listed above during Program Year 2018 (In December, 2017, the City received approximately \$97,000 in program income that will be allocated to the 2017 program year). The City does not have any urgent need activities at this time, so over 91% of CDBG funds will be used to benefit low and moderate income residents. Major activities will include improving the accessibility of public facilities, rehabilitating affordable rental and owner-occupied housing for families, elderly people and people with disabilities, preventing homelessness, and improving living facilities for homeless families, chronically mentally ill persons and victims of abuse and neglect.

Attachments

Grantee SF-424's and Certification(s)

OMB Number: 4040-0004
Expiration Date: 12/31/2019

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* 3. Revision, select appropriate element: <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* 8. Legal Name: <input type="text" value="City of Naperville"/>		
* a. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="30-0000000"/>	* c. Organizational OUNS: <input type="text" value="070000000000"/>	
d. Address:		
* Street1: <input type="text" value="400 S. Eagle Street"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Naperville"/>	Country/Postal: <input type="text"/>	
* State: <input type="text" value="IL"/>	* County: <input type="text" value="DuPage"/>	
* Zip / Postal Code: <input type="text" value="60563"/>		
e. Organizational Unit:		
Department Name: <input type="text"/>	Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Rach"/>	Middle Name: <input type="text"/>
* Last Name: <input type="text" value="Burdett"/>	Suffix: <input type="text"/>	
Title: <input type="text"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="(630) 300-5110"/>	Fax Number: <input type="text"/>	
* Email: <input type="text" value="burdett@naperville.il.gov"/>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development (HUD)"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text"/>	
CFDA Title: <input type="text"/>	
* 12. Funding Opportunity Number: <input type="text" value="4.018"/>	
* Title: <input type="text" value="Entitlement Grant"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> Add Attachment Delete Attachment View Attachment </div>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Community Development Block Grant (CDBG) - Housing Rehabilitation, Public Use of the Apartments, Public Services and Guest Administration/Services"/>	
Attach supporting documents as specified in agency instructions. <div style="display: flex; justify-content: space-around; margin-top: 5px;"> Add Attachments Delete Attachments View Attachments </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="13714"/>	* b. Program/Project: <input type="text" value="11714"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="04/01/2019"/>	* b. End Date: <input type="text" value="03/31/2020"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="541,270.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program income	<input type="text"/>
* g. TOTAL	<input type="text" value="541,270.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> .	
<input checked="" type="checkbox"/> b. Program is subject to E.O. 12372 but has not been awarded by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach <input type="text"/>	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Douglas"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Kreiger"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="City Manager"/>	
* Telephone Number: <input type="text" value="(630) 422-6044"/>	* Fax Number: <input type="text"/>
* Email: <input type="text" value="krekre@redbank.il.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="03/31/19"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4342-0039
Expiration Date: 3/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0345-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- | | |
|---|---|
| <ol style="list-style-type: none"> 1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application. 2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives. 3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project. 4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications. 5. Will provide and maintain competent and adequate engineering supervision of the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State. 6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency. 7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain. | <ol style="list-style-type: none"> 8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of CFM's Standards for a Merit System of Personnel Administration (5 C.F.R. 800, Subpart F). 9. Will comply with the Lead Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures. 10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 627 of the Public Health Service Act of 1912 (42 U.S.C. §§290dd-3 and 290ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VI of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application. |
|---|---|

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523), and; (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 108 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11583 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
 Douglas Kasper	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rapidville, CO	4/30/19

SF-424D (Rev. 7/97) Back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan – It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-112, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 – It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1704(n) and implementing regulations at 24 CFR Part 135.

Nancy X [Signature]
Signature of Authorized Official

4/30/19
Date

City Manager
Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2019, 2020, 2021 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Neyo A. Tij
Signature of Authorized Official

4/30/19
Date

City Manager
Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR §70.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Deya A Zep
Signature of Authorized Official

4/30/19
Date

City Manager
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance that is necessary to provide affordable housing;

Devo A. Thij
Signature of Authorized Official

8/30/19
Date

City Manager
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.